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PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/606,505

Filing Date June 29, 2000

First Named Inventor David Black

Group Art Unit 2181

Examiner Name Not Yet Assigned

Total Number of Pages in This Submission 3

Attorney Docket Number EMC2-055PUS

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### ENCLOSURES (check all that apply)

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| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):       |
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| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Small Entity Statement   | <input type="checkbox"/> Preliminary Amendment   |
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- Remarks In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 500845 for the cost of such extension.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Richard M. Sharkansky  
Daly, Crowley & Mofford, LLP

Signature

Date August 7, 2001

### CERTIFICATE OF MAILING

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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David Black et al.

Serial No.: 09/606,505

Group Art Unit: 2181

Filed: June 29, 2000

Examiner: Not Yet Assigned

Entitled: DATA STORAGE SYSTEM HAVING MULTI-  
CAST/UNICAST

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AUG 13 2001

Technology Center 2100

Docket No.: EMC2-055PUS

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By: Anna Maria Keel  
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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Please amend the above-identified application as follows:

In The Specification

Please insert the following paragraph after the title:

--CROSS REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of the filing dates of the following co-pending patent  
applications under the provisions of 35 U.S.C. §120: